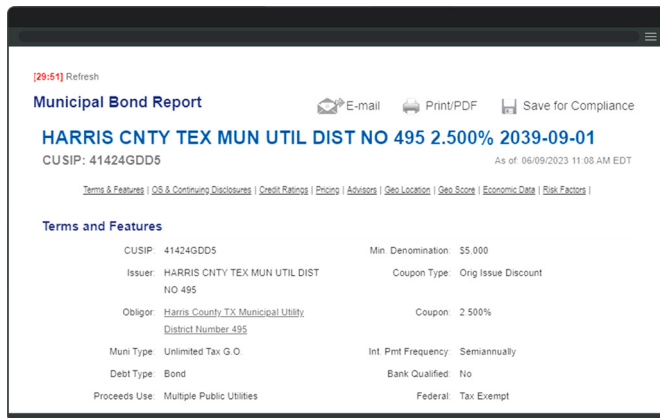




DIVER | Time of Trade Disclosure

Clarity Powered Through Millions of Data Points



A Simple Way to Access Bond Specific Information for Retail and Non-SMMP Time of Trade (MSRB Rule G-47) Disclosure and to Support Sales of New Issues.

"What used to take me 20 to 30 minutes for G-47 now takes me a second with the Muni bond report."

"We have been using DIVER Time of Trade Disclosure for over eight years. Not only has it addressed our compliance needs — our retail clients ask for the bond report."

Overview

SOLVE's Time of Trade Disclosure provides a simple way to access bond specific information for MSRB Rule G-47 and to support sales of new issues.

- Answers Regulatory Needs and Protects the Firm from Reputational Risk
- Supports Time of Trade Compliance
- Documentation to Support Consistent Policies and Procedures
- Dynamic and Configurable Muni Bond Reports with the Most Current Data Available for All Bonds, Including New Issues
- Reporting Tools and Audit Trail

SOLVE Empowers

REGULATORY COMPLIANCE

MSRB Rule G-47: Retail Time of Trade Disclosure:

- One-click access to a Municipal Bond Report containing required data and information to support MSRB Rule G-47 compliance
- Municipal Bond Report for every municipal CUSIP including new issues
- All information current at time of generation
- Configurable / Editable risk factors and company disclosure section and white label for client delivery

MSRB Rule G-27 for Supervision:

- Take advantage of robust reporting tools and how our services augment your processes to support Supervisory requirements

WEALTH MANAGEMENT

Municipal Bond Reports for every municipal CUSIP:

- All information current at time of generation
- Seamless integration within your order entry process
- White label with your logo
- Configurable and editable disclosure section
- Supports MSRB Rule G-47 for Retail / Non-SMMP Time of Trade disclosure

A Powerful Set Of Features To Support Time Of Trade Disclosure Compliance.

- **MSRB Rule G-47 & G-27 Support**
Retail and Non-SMMP Time of Trade Disclosure solution answers Time of Trade regulatory needs and protects the firm from reputational risk. Benefit from accessing all compliance reporting and an audit trail of all actions.
- **Bond Report for All Municipal Bonds**
Provides reports that are comprehensive overviews for all 1.1 million outstanding municipal bonds. Dynamic and configurable Municipal Bond Reports with the most current data available for all bonds, including new issues.
- **Reporting & Documentation**
Configurable compliance reports and storage of Municipal Bond Reports supports consistent policies and procedures.
- **Real-Time Municipal Bond Report Data**
Time of Trade Disclosure solution updates in real-time so that you and your clients have the latest information available.
- **New Issue Municipal Bond Report Data**
Makes data available within 2 hours of a CUSIP generation for new issues.



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Disclaimer: SOLVE offerings are not intended to constitute investment advice, do not seek to value any security, and do not purport to meet the objectives or needs of specific individuals or accounts.

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FOR MORE ON
**SOLVE TIME
OF TRADE
DISCLOSURE**

